

PATENT
TLC - 144C RIS

Section 1023
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Wei, et al.

Serial No.: 09/429,694

Art Unit:

Filing Date: October 27, 1999

Examiner:

For: LIPOSOMAL CERAMIDE-RELATED COMPOUNDS AND THE
THERAPEUTIC USE THEREOF

Docket No. TLC -144C RIS

Hon. Commissioner of Patents
and Trademarks
Washington, DC 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on January 17, 2000

By: Rosanne Goodman
Rosanne Goodman

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Transmitted herewith by First Class Mail for filing in the United States Patent and Trademark Office is the Applicant's response to the Office Action dated November 24, 1999, Notice to File Missing Parts of Application (copy enclosed):

Please note that the Attorney Docket Number for this application is TLC-144C RIS.

x Surcharge for Filing Missing Parts

(\$65.00) = \$65.00

Also enclosed is

X Declaration and Power of Attorney

— Verified Statement Claiming Small Entity

— Assignment and separate Transmittal Letter

X Copy of Notice of Missing Parts

Applicants request the total fee in the amount of \$65.00 be charged to Deposit Account No. 12-1635. Please credit any overpayment or charge any additional fee due to Deposit Account No. 12-1635.

Respectfully submitted,



Rosanne Goodman
Attorney for Applicants
Reg. No. 32,534

Date: January 17, 2000

THE LIPOSOME COMPANY, INC.
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Princeton, New Jersey 08540
(609) 452-7060
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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

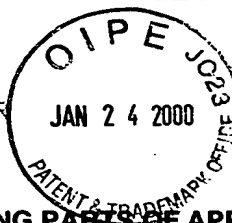
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/429,694 10/27/99 WEI Y TLC-144C-RIS

ROSANNE GOODMAN
THE LIPOSOME COMPANY INC
ONE RESEARCH WAY
PRINCETON FORRESTAL CENTER
PRINCETON NY 08540

0222/1124



NOT ASSIGNED

1615

DATE MAILED:

11/24/99

1/24/00

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of **\$65.00** for a small entity in compliance with 37 CFR 1.27, or **\$130.00** for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☐ small entity (statement filed) ☐ non-small entity is \$ 65.00.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

E. Nimmay
Customer Service Center
Initial Patent Examination Division (703) 308-1202

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